



| Legal Entity | Incorporation Jurisdiction | Regulatory Status | Registered Address & Number | Contact Details | Board of Directors Details |
|-----------------------|----------------------------|---|--|--|--|
| Baader Helvea AG | Switzerland | Authorised and Regulated by FINMA as a Securities Dealer | Freigutstrasse 12 8002 Zurich Switzerland Reg. No: CHE-110.356.568 | Freigutstrasse 12 8002 Zurich Switzerland T +41 (0)43 388 9200 | Supervisory Board of Directors (Group): Oliver Riedel (President) Dr. Catrina Luchsinger Gähwiler Paul-André Sanglard Morgan Deane, Esq. Executive Management Board (Group): Morgan Deane, Esq. (CEO) Tim Dawson (CFO) Bernard Scussel (CCO & CRO) |
| Baader Helvea Limited | England & Wales | Authorised and Regulated by the Financial Conduct Authority | 5 Royal Exchange Buildings London EC3V 3NL United Kingdom T +44 (0)20 7054 7100 Reg. No: 04935018 | 5 Royal Exchange Buildings London EC3V 3NL United Kingdom T +44 (0)20 7054 7100 | Supervisory Board of Directors: Oliver Riedel Morgan Deane, Esq. Tim Dawson Lukas Burkart |
| Baader Helvea Inc | Delaware, USA | SEC Registered (Broker Dealer) Member of FINRA Member of SIPC | 2915 Ogletown Road, Newark County of New Castle, 19713 Delaware USA Reg. File No: 5080609 | Main Business Address**: Suite 804 420 Lexington Avenue NY 10170 USA T +1 212 935 5150 | Supervisory Board of Directors: Francis Grevers (President) Oliver Riedel Morgan Deane, Esq. Tim Dawson Sebastian Niedermayer |

** Baader Helvea Inc. in New York is the OSJ through which all contact is routed. Information on the non OSJ location in Unterschleissheim can be provided on request